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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 298-147

First Inventor Steinbichler

Title PROCESS AND APPARATUS FOR ...

Express Mail Label No. EV035533274US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

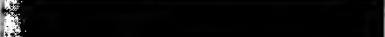
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check for \$433

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

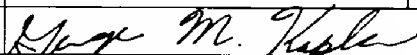
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
Prior application information. Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label  or ☒ Correspondence address below

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Name (Print/Type)	George M. Kaplan	Registration No. (Attorney/Agent)	28,375
Signature		Date	Nov. 28, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV035533274US addressed to: Assistant Commissioner for Patents, Box PCT, P.O. Box 2327, Arlington, Va 22202.

Dated: Nov. 28, 2001

George M. Kaplan

11/28/01  
J1056 U.S. PTO

11-30-01

PATENT

Atty. Docket No. 298-147

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

UTILITY APPLICATION FEE TRANSMITTAL

Jc971 U.S. PTO  
09/996239  
11/28/01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Hans Steinbichler, Volker Rasenberger, Rainer  
Huber and Roman Berger

For: PROCESS AND APPARATUS FOR RECORDING THE  
DEFORMATION OF OBJECTS

Enclosed are:

☒ 13 page(s) of specification

☒ 1 page(s) of Abstract

☒ 4 page(s) of claims

☒ 1 page(s) of Translation of Fig. 2

☒ 2 sheets of drawings ☒ formal ☐ informal (**Figs 1 and 2**)

☒ 4 page(s) of Preliminary Amendment and marked-up copy

☐ \_\_\_\_\_ page(s) of Declaration and Power of Attorney

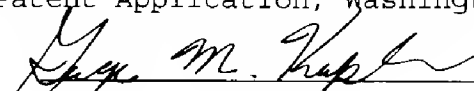
☐ An Assignment of the invention to \_\_\_\_\_

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number **EV035533274US** addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

November 28, 2001

Date

  
George M. Kaplan

[ ] This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s)..  
APPLICATION NO(S)..  
FILING DATE

\_\_\_\_\_  
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\_\_\_\_\_

[x] Certified copy of application(s)

Country	Appln. No.	Filed
Germany	100 58 887.5	November 29, 2000
Germany	101 01 057.5	January 11, 2001

from which priority under Title 35 United States Code, § 119 is claimed

[ ] is enclosed.

[X] will follow.

CALCULATION OF UTILITY APPLICATION FEE\*

For	Number Filed	Number Extra	Rate	Basic Fee \$ 740.00
Total				
Claims	27	-20 = 7	x \$ 18.00	\$ 126.00
Independent				
Claims	2	-3 = 0	x \$ 84.00	\$ 0.00
Multiple	[ ] yes	Add'l. Fee	\$280.00	\$
Dependent				
Claims	[x] no	Add'l. Fee	None	= \$
TOTAL				\$ 866.00

[X] Small Entity Status Under 37 C.F.R. § 1.27.  
Reduced fees under 37 C.F.R. § 1.9(f) (50% of 866.00 total) paid herewith \$433.00.

[ ] The amount of \$40.00 for recording the attached Assignment is included in a separate check.

\* Based upon accompanying Preliminary Amendment


\*\* includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

[x] A check in the amount of \$ 433.00 to cover the  
[] recording, [X] filing fee(s) is attached.

[ ] Charge fee to Deposit Account No. 04-1121. Order No.  
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

[X] Please charge any deficiency as well as any other fee(s)  
which may become due under 37 C.F.R. § 1.16 and 1.17, at any  
time during the pendency of this application, or credit any  
overpayment of such fee(s) to Deposit Account No. 04-1121.  
Also, in the event any extensions of time for responding are  
required for the pending application(s), please treat this  
paper as a petition to extend the time as required and  
charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES  
OF THIS SHEET ARE ENCLOSED.

Date: November 28, 2001

  
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